

Report To: Corporate Governance Committee

Date of Meeting: 23 November 2016

Lead Member / Officer: Ivan Butler – Head of Internal Audit

Report Author: Ivan Butler – Head of Internal Audit

Title: Internal Audit Update

1. What is the report about?

This report provides an update for Corporate Governance Committee on Internal Audit's latest progress in terms of its service delivery, assurance provision, reviews completed, performance and effectiveness in driving improvement.

2. What is the reason for making this report?

Recently, this report has been presented to the Committee as an 'information report' that does not appear on the Committee's formal agenda. This means that only Internal Audit reports that are 'low' or 'no' assurance are discussed at Committee, which provides a negative impression of the overall situation within the Council, as most Internal Audit reports are positive.

In addition, one of the Committee's roles is to monitor Internal Audit's progress and performance, which is not formally taking place when the progress report is an 'information report'.

A new style progress update has therefore been designed, which can be presented to each Committee meeting.

3. What are the Recommendations?

The Committee considers the content and format of the attached progress update and decides whether this is sufficient to allow it to carry out its monitoring role and provides a balanced view of the audit of the Council's operations.

4. Report details

Full details are provided in the attached update report. In addition, the Head of Business Improvement & Modernisation and Head of Internal Audit have recently met with the Chair of Corporate Governance Committee to provide assurance on their plans to manage the current and upcoming changes in the Internal Audit team that will affect capacity for the remainder of 2016/17 and for most of 2017/18.

5. How does the decision contribute to the Corporate Priorities?

Not applicable - there is no decision required with this report.

6. What will it cost and how will it affect other services?

Not applicable - there is no decision required with this report.

- 7. What are the main conclusions of the Equality Impact Assessment (EqIA) undertaken on the decision? The completed EqIA template should be attached as an appendix to the report**
Not applicable - there is no decision required with this report.
- 8. What consultations have been carried out with Scrutiny and others?**
Not applicable - there is no decision required with this report.
- 9. Chief Finance Officer Statement**
Not applicable - there is no decision required with this report.
- 10. What risks are there and is there anything we can do to reduce them?**
Not applicable - there is no decision required with this report.
- 11. Power to make the Decision**
Not applicable - there is no decision required with this report.